



Express Mail mailing number: EH 427 698 887 US

Date of Deposit: 9-3-97

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to "Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231

JOHNIE CRADBY

(Name of person mailing)

Johnie Cradby

(Signature of person mailing)

ATTORNEY FILE NO. 8406/4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF)
)
HOWARD B. GARBER)
)
SERIAL NO. 08/868,200)
)
FILED: June 3, 1997)
)
FOR: SYSTEM AND METHOD FOR)
TRADING HAVING A)
PRINCIPAL MARKET MAKER)

PRELIMINARY AMENDMENT

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

Sir:

Before examining the above-identified application, please amend the application as follows:

#24
12/12/97